Biomechanical Evaluation of 3 Stabilization Methods on Acromioclavicular Joint Dislocations

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Background: Traumatic acromioclavicular (AC) joint dislocations can be addressed with several surgical stabilization techniques. The aim of this in vitro study was to evaluate biomechanical features of the native joint compared with 3 different stabilization methods: locking hook plate (HP), TightRope (TR), and bone anchor system (AS).

Hypothesis: The HP provides higher stiffness than the anatomic reconstruction techniques.

Study Design: Controlled laboratory study.

Methods: A new biomechanical in vitro model of the AC joint was used to analyze joint stability after surgical repair (HP, TR, and AS). Eighteen cadaveric specimens were randomized for bone density and diameter in the midclavicle section. Joint stiffness was measured by applying an axial load and a defined physiological range of motion for internal and external rotations and upward and downward rotations. Data were recorded at 3 stages: for the native joint after dissecting the AC ligaments, directly after repair, and after axial cyclic loading (1000 cycles with 20 and 70 N at 1 Hz). To evaluate which implant mimics physiological joint properties best, axial stiffness of vertical stability was assessed in combination with rotation. Finally, static loading in the superior direction was applied until failure of the joints occurred.

Results: Axial stiffness of the TR and AS groups was 2-fold higher than for the HP group and the native joint (67.1, 66.1, and 22.5 N/mm, respectively; P < .004). Decreased load-to-failure rates were recorded in the HP group compared with the TR and AS groups (248.9 ± 72.7, 832.0 ± 401.4, and 538.0 ± 166.1 N, respectively). The stiffness of the rotations was not significantly different between the treatment methods but was lower in horizontal and downward rotations compared with the native state. Thus, native AC ligaments contributed a significant share to joint stiffness.

Conclusion: The TR and AS groups demonstrated higher vertical load capacity. Compared with the TR and AS, the HP demonstrated an axial stiffness closest to the native joint. For restoring physiological properties, reconstruction of the AC ligaments may be necessary.

Clinical Relevance: The results show different biomechanical properties of the HP and anatomic reconstructions.

Keywords: AC joint dislocation; hook plate; TightRope; anchor reconstruction; biomechanics of AC joint

Traumatic acromioclavicular (AC) joint dislocations are common injuries. The typical trauma mechanism is a direct fall on the ipsilateral arm.33 Lesions of the AC joint are classified according to Rockwood grades (I-VI).25,31 Grade IV to VI lesions are commonly treated surgically. The treatment of type III injuries remains controversial.21,23 A basic principle in the treatment of acute AC joint dislocations is the restoration of joint congruity and mechanical stability as accurately as possible to provide physiological conditions for the development of rigid scar tissue healing.36 The hook plate is a commonly used stabilization device for functional AC joint restoration and reduction maintenance.4,9,12,14,30 Recently, less invasive implant systems such as the Flipptack (Karl Storz, Tuttingen,
Germany) and TightRope (Arthrex GmbH, Munich, Germany) have been introduced, aspiring toward a first-choice implant. The use of 2 bone anchors also offers an attractive alternative in minimally invasive AC joint stabilization. An obvious advantage of these reconstruction techniques is assisted arthroscopic instrumentation and the absence of the need for implant removal, which is necessary in using the hook plate.

The aim of this in vitro biomechanical study was to compare AC joint stability after surgical repair using 3 common surgical techniques. We hypothesized that the hook plate would provide higher stiffness in the superior direction and lower stiffness in internal and external rotations compared with the native joint and the anatomic reconstruction techniques.

MATERIALS AND METHODS

A total of 18 shoulder specimens were randomized for bone mineral density (BMD) and clavicular diameter. The 3 different groups were compared with respect to bone density, dislocation, and stiffness of the native AC joints. We used a locking hook plate (LCP Hook Plate, Synthes GmbH, Solothurn, Switzerland) and 2 different anatomic reconstruction systems of the coracoclavicular ligament. In the first group, 2 second-generation TightRopes with No. 5 FiberWire (Arthrex GmbH) were used. In the second group, 2 bone anchor systems with two 6.5-mm corkscrew anchors loaded with FiberTape (Arthrex GmbH) were implanted. The FiberTape was fixed over 2 small plates above the clavicle according to the clavicular plates of the second-generation TightRope system. The different reconstructions (hook plate [HP], TightRope [TR], and bone anchor system [AS]) were used in 6 specimens each (Figure 1).

The joints were removed postmortem at the Department of Forensic Medicine, University Medical Center Hamburg-Eppendorf, and stored at –20°C. Each specimen included the complete scapula and clavicle with an intact deltotrapezial fascia. The humerus was exarticulated. All specimens underwent fluoroscopic and clinical examinations. Specimens demonstrating prior fractures, ossifications, or former injuries of the AC and coracoclavicular ligaments were excluded. Bone density of the lateral clavicle was determined for each specimen using peripheral quantitative computed tomography (pQCT) (XCT 2000, Stratec, Pforzheim, Germany).

The fresh-frozen specimens were thawed overnight at room temperature. The soft tissue was removed from the inferior scapula up to the processus spinatus and 80 mm along the medial clavicle. The inferior scapula was embedded in a polyurethane block (ureol FC53, Gößl & Pfaff, Karlskron, Germany) in a functional neutral position at 5° of upward rotation in the anterior-posterior direction and 20° of tilt to the axial plane. Before testing, the AC joints were fixed in a neutral position using K-wire arthrodesis to avoid lesions of the ligaments. The coracoid process was localized 1 cm above the polyurethane block, and the medial clavicle was embedded up to 80 mm to the lateral end in a 0.2-L cup. The setup of the materials testing machine (MTS Bionics Mini II, MTS Systems Corp, Eden Prairie, Minnesota) was based on the figures in the work of Fukuda et al, completed with an X-Y table, two 6 degrees of freedom load cells, and a hydraulic rotary axis (Figure 2).

Joint stiffness was measured for each specimen within the normal range of motion under cyclic superior loading for 3 cycles. First, the stiffness was measured in the axial superior direction (20 and 70 N), followed by internal and external rotations (15°, 0°, 15°) and upward and downward rotations of the scapula (12°, 0°, 12°). Upward rotation simulated abduction of the arm, and downward rotation simulated adduction of the arm. Internal and external rotations reproduce anteversion and retroversion of the arm in 90° of elevation, respectively. The range of rotations was defined...
Cyclic loading with 20 and 70 N was applied sinusoidally to a reconstruction device, and after cyclic loading (Figure 2), cutting the coracoclavicular ligaments and implantation of was performed to obtain exploitable and comparable mean values.

Specimens underwent identical testing sequences in their native state, after dissecting the AC ligaments, after cutting the coracoclavicular ligaments and implantation of a reconstruction device, and after cyclic loading (Figure 2). Cyclic loading with 20 and 70 N was applied sinusoidally for 1000 cycles at 1 Hz in a superior direction, which represents 10% of a mild postoperative physical therapy stress protocol. At the end, final load-to-failure testing was performed using a static increasing axial load at a rate of 25 mm/min. Failure was defined as a 20-mm superior dislocation or any fracture, insufficiency, or material failure occurrence. Photographic and radiographic documentation was obtained in every case. Implantation of the HP was performed according to the manufacturer’s guidelines. Four-hole locking plates were used. The offset of the hook (12, 15, or 18 mm) was determined according to the anatomy.

Two TRs with No. 5 FiberWire were implanted to reconstruct the anatomic V-shaped alignment of the coracoclavicular ligaments from the superior clavicle to the base of the coracoid process. The footprints of the coracoclavicular ligaments were identified on each specimen. The drill guide followed the V-shaped running center of the trapezoid and conoid ligaments at their clavicular origins to the base of the coracoid process. The trapezoid insertion is localized approximately 2.5 cm and the conoid approximately 4.5 cm medial to the lateral end of the clavicle. Special importance was paid to the distance between both footprints as to not underrun the critical 10-mm limit.

The second anatomic ligament reconstruction technique with the AS was performed using FiberTape threads fixed to the coracoid process using two 6.5-mm screw anchors (Corkscrew III, Arthrex GmbH). The tape was fixed over the clavicle using 2 small plates. The implantation, especially the drillings, was performed as described above.

All surgical procedures were performed by 1 experienced orthopaedic surgeon (L.G.G.). Approval of the ethics committee for this study was granted.

Statistical Analysis

Joint stiffness was determined for all range of motion directions from a linear regression slope to the load-displacement curves. These were compared before and after surgery both within and between each treatment.

Data were proven to be normally distributed regarding a specific parameter using the Shapiro-Wilk test. The homogeneity of variance between groups was verified by the Levene test. Regarding the results of these statistical tests, parametric (1-way/2-way analysis of variance [ANOVA] or Welch test) or nonparametric (Kruskal-Wallis test) analysis was performed.

The influence of the time at which the data were recorded (native vs after surgery vs after cyclic loading) on the stiffness of the specimens was assessed using a repeated-measures design. In case of an additional independent variable, mixed ANOVA was performed. The Mauchly test was used to indicate whether the assumption of sphericity had to be violated. In case of a significant Mauchly test result ($P < .05$), a Greenhouse-Geisser correction was applied. For all statistical tests, the type I error probability was set to $\alpha = .05$. All statistical tests were calculated by means of PASW Statistics v18 (SPSS Inc, Chicago, Illinois).

RESULTS

Within the 3 groups, no significant differences were found regarding the clavicular diameter ($P = .136$, 1-way ANOVA), bone density ($P = .224$, 1-way ANOVA), native superior translation (20 and 70 N) ($P = .312 \leq P \leq .460$, 1-way ANOVA), native rotation (upward and downward, internal and external) ($P = .103 < P < .774$, Kruskal-Wallis test), and native axial stiffness ($P = .135$, Kruskal-Wallis test) (Table 1).

Mean BMD values of the lateral clavicle were 242.4 ± 37.6 mg/cm$^3$ (220.4 ± 45.9 mg/cm$^3$ in the HP group, 253.5 ± 31.6 mg/cm$^3$ in the TR group, and 253.2 ± 28.7 mg/cm$^3$ in the AS group) ($P = .224$, 1-way ANOVA). Mean superior translation of all native joints was 2.9 ± 1.5 mm with the 20-N axial load and 5.0 ± 1.7 mm with the 70-N load (Table 1). Mean natural joint stiffness for each rotation was 25.34 ± 7.05 N/mm (axial), 0.056 ± 0.026 N m/deg (internal rotation) and 0.414 ± 0.339 N m/deg (external rotation), and 0.245 ± 0.11 N m/deg (upward rotation) and 0.443 ± 0.592 N m/deg (downward rotation) (Table 2).

After dissection, axial stiffness of the AC ligaments was 15% lower (25.34 ± 7.05 N/mm [native] vs 21.54 ± 7.29 N/mm) ($P < .001$, mixed ANOVA), and a significant loss of stiffness was observed for each rotation. Stiffness in upward rotation was reduced by 18% (0.245 ± 0.11 N m/deg [native] vs 0.201 ± 0.104 N m/deg [mixed ANOVA]), stiffness in downward rotation by 59% (0.443 ± 0.592 N m/deg [native] vs 0.18 ± 0.148 N m/deg [mixed ANOVA]), stiffness in internal rotation by 41% (0.056 ± 0.026 N m/deg [native] vs 0.033 ± 0.009 N m/deg [mixed ANOVA]), and stiffness in external rotation by 85% (0.414 ± 0.339 N m/deg [native] vs 0.063 ± 0.048 N m/deg) ($P < .001$, mixed ANOVA) (Table 2).

Postoperative failure during range of motion testing occurred only in 2 of 6 specimens within the HP group. Failure occurred within the first cycles of axial superior loading directly after implantation. The failures were clavicle fractures localized at the medial screw.

Mean superior translation in the HP group was 7.32 ± 3.57 mm under the 20-N axial load and 9.34 ± 3.78 mm under the 70-N load (Table 1). Stiffness patterns of the HP group are presented in Table 2. The mean maximum load-to-failure values attained in the HP specimens were 248.9 ± 72.7 N (Table 1).

The TR-implanted joints showed a mean superior translation of 2.04 ± 1.17 mm under the 20-N axial load and 2.83 ± 1.00 mm under the 70-N axial load after cyclic testing (Table 1). Stiffness patterns of the TR group are presented in Table 2.
The specimens that underwent an AS implantation demonstrated a mean superior translation of 5.99 ± 1.89 mm with the 20-N axial load and 6.74 ± 1.98 mm with the 70-N axial load (Table 1). Stiffness patterns of the AS group are presented in Table 2.

The superior translation of the HP-implanted joints with 20-N and 70-N axial loads tended to be influenced by the time of data acquisition (HP with 20 N: 2.40 ± 0.31 vs 2.83 ± 0.34 mm). At 20 N, no significant reduction of superior translation was observed in the TR group after surgery and cyclic loading (2.48 ± 1.17 mm [native] vs 2.04 ± 1.17 mm [postoperative]; P = .220). After cyclic loading, the AS-repaired joints showed a significantly increased superior dislocation at 20 N as well as at 70 N compared with the native joints (AS with 20 N: 3.71 ± 1.36 mm [native] vs 5.99 ± 1.89 mm [postdynamic], P = .003; AS with 70 N: 5.40 ± 1.60 mm [native] vs 6.74 ± 1.98 mm [postdynamic], P = .027). The dislocation increased about 1 mm after cyclic loading compared with the postoperative situation (Table 1).

A significant increase in axial stiffness in the TR and AS groups was found postoperatively (P < .001, ANOVA) in contrast to the HP group. The HP-treated specimens exhibited the same axial stiffness after surgical intervention as in the native state. Axial stiffness of the HP group was significantly smaller compared with the TR and AS groups (P < .004, Dunnett T3) (Figure 3A and Table 2).

The stiffness of the different rotations (upward, downward, internal, and external), independent of the acquired time, was not significantly different between the HP, TR, and AS groups (upward rotation: P = .496; downward rotation: P = .555; internal rotation: P = .134; external rotation: P = .429; all mixed ANOVA) (Table 2).

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Surgical intervention resulted independently of the treatment method in decreased stiffness in internal rotation (0.056 ± 0.026 N·m/deg [native] vs 0.037 ± 0.024 N·m/deg) (*P = .038, within-patient contrasts, mixed ANOVA) as well as in downward rotation (0.443 ± 0.592 N·m/deg [native] vs 0.161 ± 0.054 N·m/deg) (*P = .016, within-patient contrasts, mixed ANOVA) (Table 2). Stiffness in upward rotation was neither influenced by the implantation of a TR, AS, or HP nor by dynamic loading (0.246 ± 0.113 N·m/deg [native] vs 0.216 ± 0.093 N·m/deg [postoperative] vs 0.245 ± 0.082 N·m/deg [postdynamic]) (*P = .172, mixed ANOVA). The mean load-to-failure value by the static superior load tended to be 30% greater in the TR group (832.0 ± 401.4 N) compared with the AS group (538.0 ± 166.1 N) and was 65% greater compared with the HP group (248.9 ± 72.7 N) (Figure 3B and Table 1).

The failure patterns for the HP during load-to-failure testing were fractures of the clavicle initiated at the medial screw hole and migration of the hook into the acromion (Figure 4), whereas the TR showed in all cases a cutout of the coracoid buttons (Figure 5): twice in the simulated conoid ligament position, once in the simulated trapezoid ligament position, and twice at both positions. The AS implants always failed because of bending of the bar on which the FiberTape was drawn around in the anchor; thus, the bar and the tape dislocated the anchor (Figure 6). The anchor remained in the coracoid.

**DISCUSSION**

The treatment principle of acute AC joint dislocations is the restoration of physiological joint biomechanics and the maintenance of range of motion and mechanical stability. Therefore, the range of motion of the native state of an intact joint should be tested and compared with the instrumented joint. Reviewing the literature, common biomechanical apparatuses test the clavicular movement only in superior and anteroposterior directions. Findings by Sahara et al showed an anteroposterior translation of only 1.9 mm posterior and 1.6 mm anterior and a superoinferior translation of 0.9 mm during arm abduction in the native state in healthy male participants. The AC joint allows the rotation of the scapula relative to the clavicle in different planes. Inman et al and Graichen et al reported an in vivo motion of the AC joint of approximately 20°. The data of Ludewig et al demonstrated a maximum mean movement of 17° of internal rotation and 11° of upward rotation. Former studies suggested evaluation in a multiplanar setting that reflects the in vivo situation more accurately in terms of rotations than the measurements of anteroposterior and superior displacements. An aim of this cadaveric study was to establish a biomechanical setup that imitates the range of motion of the native AC joint. Therefore, we tested the native intact joint in internal, external, upward, and downward rotations in combination with an axial load that mimics a weight stress of the ipsilateral arm.

The loss of stiffness after dissection of the AC ligaments is comparable with that found by Fukuda et al. According to their opinion, the AC ligament is the major contributor especially for small anterior/posterior displacements.

Another aim was to examine the quality of native joint property restoration by comparing 3 different commonly used devices. The HP is still one of the most commonly used implants for acute AC dislocations with good clinical and biomechanical results. McConnell et al, for example, reported physiological stiffness. The axial stiffness values of the intact joint and the HP reported in this study are comparable with those reported by McConnell et al (intact: 24.1 N/mm [current study] vs 24.6 N/mm [McConnell et al]; HP: 22.5 N/mm [current study] vs 25.5 N/mm [McConnell et al]).

The 2 postoperative failures in the HP group might have been related to inferior bone density and the small diameter of the clavicles. The BMD values of the lateral clavicles of these 2 joints were among the lowest of all specimens (197.0 and 212.6 mg/cm²; the mean value of all specimens was 242.4 ± 37.6 mg/cm²). Wellmann et al reported increased mean BMD values. However, the exact parameter of data acquisition of the BMD was not described, and the measured area of the clavicle and the procedure used (dual-energy x-ray absorptiometry [DEXA] or pQCT)
were not mentioned. The data are therefore not fully comparable. The superior-inferior diameters of the premature failed clavicles were again the lowest of all tested specimens: 9.0 and 9.9 mm. The mean diameter of all clavicles was 10.7 ± 0.9 mm. Rios et al. reported a mean superior-inferior diameter of 11.4 ± 1.6 mm. Both specimens failed under an axial load. The load-to-failure values during the sinusoidal loads were only 49.5 and 83.6 N. Both clavicles fractured around the medial screw of the HP. In our opinion, the fractures were caused by potentially associated osteoporosis. Therefore, patients with osteoporosis are usually treated nonoperatively.

An advantage of the HP is the relatively easy implantation procedure and early postoperative mobilization. However, known disadvantages of the HP are recurrent dislocations and skin/wound complications, and Folwaczny et al. noted only a 63.2% patient satisfaction rate postoperatively. The main disadvantage is the necessity of implant removal. Common complications, as also seen in this study, are the migration of the hook into the subacromial bone and fractures of the clavicle around the medial screw of the plate.

The double button system such as the TR is a more recently developed implant, which is increasingly used with good results. Walz et al. reported a double TR fixation with equal or even higher maximum forces compared with native ligaments. They described an ultimate tensile strength up to 982 N and stiffness up to 80 N/mm in a static vertical testing procedure.
As described previously, the cutout of the coracoid plates has been demonstrated in the present study as well.\textsuperscript{16,35} Wellmann et al\textsuperscript{35} tested subcoracoid flip button fixation (Flipptack), a PDS sling, and 2 different suture anchors (3.5- and 5.0-mm suture anchors, Smith & Nephew, Memphis, Tennessee) using a porcine metatarsal model. The flip button and the sling revealed higher loads than the anchor repairs. A disadvantage of the double button systems may be the increased axial stiffness as seen in our results and in the literature.\textsuperscript{16}

The anchor repair is performed in various ways. There are some publications reporting good results. Shin et al\textsuperscript{29} demonstrated a reliable technique for restoring stability by using 2 suture anchors. Choi et al,\textsuperscript{2} for example, did not observe a fixation failure. The specific reasons for failure of an anchor repair are suture insufficiency or anchor pullout.\textsuperscript{13} In this study, the anchors remained in place, and the FiberTape did not rupture. In our series, mainly the threads were pulled out of the anchor. Desmukh et al\textsuperscript{5} also tested different anchor repair systems (only 1 anchor each) and described the laxity in anteroposterior and superior directions under a 100-N load as well as failure load. The superior laxity that was similar to the superior translation was in the native state with 3.1 ± 1.5 mm and the anchors from 6.0 to 9.0 mm. Load-to-failure testing of the anchors by static superior loading provided a mean value from 278 N to 369 N.\textsuperscript{5} Harris et al\textsuperscript{13} reported unidirectional static testing of 2 Mitek G4 Super Anchors (Mitek, Norwood, Massachusetts) with a rate of 25 mm/min and a mean of 366 ± 96 N. Compared with our results, the mean values are lower.

The cutout of the TR or failure of the anchor could be caused by the 2-fold higher stiffness compared with the native joint. Walz et al\textsuperscript{32} suggested the quadrupled FiberWire as an explanation for the extended stiffness. A removal of these systems may permit a prevention of this failure mechanism. Whether the high axial stiffness might lead to a cutout of the inferior buttons of the TR has to be addressed by clinical follow-up studies.\textsuperscript{22}

In this study, a significant reduction of stiffness in internal and external rotations and downward rotation in every group was seen. This means that none of the reconstructions addresses the horizontal movement of the AC joint properly. Horizontal instability produces significantly inferior results in AC-specific scoring systems.\textsuperscript{28}

We saw a significant loss of stiffness in every rotation by testing the joint after dissecting only the AC ligaments. Specifically, the stiffness of the external rotation was reduced by 85%. Reconstruction of the AC ligaments is needed to restore physiological joint properties in horizontal and downward rotations.

In vivo, a superior translation of less than 2 mm is described as an anatomic dislocation, of 2 to 4 mm as light, and of 4 to 8 mm as moderate. Greater than 8 mm is regarded as a failure of the reconstruction.\textsuperscript{6} On the basis of this scale, the TR resulted in an anatomic postoperative superior displacement, while the AS and HP resulted in moderate translations. Based on this scale, the HP showed a failure of reduction under a load of 70 N. Compared with the native joint, the TR group tended to have a lesser translation at a load of 70 N. The AS group showed a significantly higher translation compared with the native joint at 20 and 70 N.

Higher maximum forces were attained in the TR and AS groups, which were similar to or exceeded the strength of the native ligaments (500 ± 134 N).\textsuperscript{13} The observed failure patterns of the different reconstructions are in line with those mentioned in the literature.\textsuperscript{16}

The hypothesis that the HP is stiffer in the axial direction than the 2 anatomic reconstructions is not supported by the data of this study. Our results showed significantly higher axial stiffness in the TR and AS groups, while the HP group was quite similar to the intact joint. A clavicle with an implanted HP allows a situation in which the HP bends over the whole length of the clavicle. The reconstructions are armed with FiberWire/FiberTape and therefore may be the cause of the extended stiffness compared with the native state. Perhaps that is the reason why the anatomic reconstruction techniques showed higher rigidity especially in the superior direction.

If the aim of surgical reconstruction in acute AC joint dislocation is to reach increased maximum forces, anatomic reconstruction (TR and AS) may have an advantage in the restoration of stability. If aiming at the reconstruction of physiological axial stiffness, the HP should be the implant of choice. The additive reconstruction of the AC ligaments might represent the key procedure for restoring physiological conditions.

Limitations

A biomechanical model never reaches 100% of in vivo conditions. The specimens, of course, did not include the whole soft tissue of the shoulder. The rotator cuff and the conjoined tendons were dissected. These muscles have an influence on the AC joint, which we were not able to simulate in this study. Another limitation of this study is the age of the specimens and the potentially associated osteoporosis. The 2 postoperative failed specimens had the lowest bone densities of all tested bones.

The TR and AS were not implanted arthroscopically, so our data show no advantages or disadvantages of the minimally invasive techniques. The 1000 cycles may be too few to document long-term results. Therefore, further studies including more cycles are required to detect limitations of the TR and AS implants.

REFERENCES

